

**COLLECTION AGENT
SUPPLEMENTAL APPLICATION**



INSTRUCTIONS FOR COMPLETING APPLICATION:

1. Please answer all the questions. This information is required to make an underwriting and pricing evaluation. Your answers hereunder are considered legally material to that evaluation.
2. If a question is not applicable, state "N/A". If more space is required to answer a question, please attach exhibit with the question number.
3. Application must be signed and dated by authorized person.

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1. Applicant name: _____
 - a. Please describe the type(s) of collections handled? _____
 - b. What is the average dollar value of each collection? \$ _____
 - c. What is the highest value of any collection done in the past twelve months?
\$ _____

 2. Are the Applicant's fees contingent upon collection (e.g. does the applicant receive a percentage or commission on each successful collection)? Yes No
If "Yes", please explain terms and conditions:

 3. Are controls/procedures in place to ensure that the Applicant complies with the provisions of the Fair Debt Collections Practice Act (FDCPA)? Yes No
 - a. Procedures in place to ensure all types of communication with the consumer when collecting debt comply with the FDCPA? Yes No
 - b. Procedures in place to ensure all types of communication with third parties when collecting debt comply with the FDCPA? Yes No
 - c. Procedures in place to ensure employee conduct are not considered abusive or harassing when collecting debt under the provisions of the FDCPA? Yes No
 - d. Procedures in place to ensure employees do not make false or misleading representations when collecting debt under the provisions of the FDCPA? Yes No

 4. a. Are controls/procedures in place to ensure that the Applicant complies with applicable state law provisions regarding the collection of debt? Yes No

 5. Does the Applicant engage in any repossession activities? Yes No
If "Yes", please indicate percentage of total revenues derived from such activities and types of property repossessed. _____

6. Are any collections referred to outside attorneys for legal action, on behalf of clients? Yes No
 If "Yes", please indicate how often and under what circumstances outside attorneys are engaged: _____
7. Does the Applicant purchase debt from clients? Yes No
 If "Yes", provide a brief explanation. _____
8. Is the Applicant involved in factoring of accounts receivable? Yes No
 If "Yes", please explain. _____

I understand the information submitted herein becomes a part of my Professional Liability Insurance Application and is subject to the same warranty and conditions.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act.

_____	_____	_____
Signature of Owner, Officer or Partner	Print or Type Name and Title	Date (m-d-y)