

**PROMOTERS OR PRINTERS OF LOTTERIES AND
SALES PROMOTION GAMES
SUPPLEMENTAL APPLICATION**



Instructions to the applicant:

- 1. Please answer all the questions. This information is required to make an underwriting and pricing evaluation. Your answers hereunder are considered legally material to that evaluation**
- 2. If a question is not applicable, state N/A. If more space is required to answer a question, please attach exhibit with the question number.**
- 3. Application must be signed and dated by authorized person.**
- 4. Attach any company brochures used.**

APPLICANT: _____

1. On a separate page, list partners, principals, and senior staff, and attach brief resumes.
2. Parent Company: _____
3. Identify and describe the types of lotteries and games for which the applicant normally performs services.

4. a. Indicate the length of time / experience with each of the following:
Lotteries _____
Sales Promotion Games _____
Other High Security Work (specify) _____
_____ b. Identify the applicant's previous work in these fields, and attach samples.

5. Are any lottery or games related services currently performed for clients outside the United States, or is any business expected from outside the United States? Yes No
If yes, please provide details:

- a. Does the applicant participate in bids for existing games or contests? Yes No
6. Attach a copy of the applicant's standard form of contract / terms of trade, indicate areas of normal concern, and provide a brief description of procedures addressing those concerns.
a. Design _____
b. Origination _____

- c. Preparation _____
- d. Printing _____
- e. Seeding _____
- f. Packing _____
- g. Distribution _____
- h. Delivery _____

7. Does the applicant typically act as:
- a. Subcontractor? Yes No
 - b. Main contractor to the client (end user)? If yes, answer (i) through (viii) below Yes No
 - (i) What functions are subcontracted? _____
 - (ii) Who is the typical subcontractor? _____
 - (iii) How long has the applicant worked with this subcontractor? _____ years
 - (iv) Has their work been entirely satisfactory? Yes No
 - (v) To the best of the applicant's knowledge, has the subcontractor ever been the subject of an errors & omissions lawsuit? Yes No
 - (vi) To what extent does the applicant supervise the subcontractor's work? _____
 - (vii) Does applicant require the subcontractor to carry Errors & Omissions protection? Yes No
 - (viii) Is the applicant satisfied regarding the subcontractor's security handling precautions? Yes No
8. Provide details of the procedures employed for checking against duplication and incorrect printing.

9. Provide details of security precautions employed throughout the applicant's entire operation.

10. Describe special precautions taken with winning or control pieces.

11. Is the applicant responsible for seeding random winners? Yes No
 If yes, please detail supervision arrangements. _____

12. Identify and describe the general precautions taken regarding abuse and forgery.

13. Is the applicant a printer? If yes, describe arrangements for checking the quality of supplier's products, especially in regard to ink and covering materials. Yes No
14. Is a security report completed on a regular basis? Yes No
15. Is any computer used in the design, preparation, printing and running of Lotteries/Games? Yes No
 a. If yes, who is responsible for the equipment and software? _____
 b. Describe the experience of the person identified above. _____

 c. Describe the functions required of the computer.

 d. Are security arrangements built into tapes/disks stored when not in use? Yes No
16. Is the applicant involved in, or likely to be involved in, any probability games? Yes No
 If yes, please describe. _____

17. Has the applicant ever suffered any loss from duplication or incorrect printing due to an error or omission? If yes, please describe. Yes No

a. Has the applicant ever suffered any loss arising from failure to interface systems on a timely basis? Yes No

18. Please provide the following information:

	Prior Year	Current Year	Estimated Next Year
Total Number of Tickets Printed			
Games	_____	_____	_____
Lotteries	_____	_____	_____
Gross Revenue			
Games	_____	_____	_____
Lotteries	_____	_____	_____

19. Indicate the basic Errors & Omissions insurance requirements requested:

- a. Maximum amount any one prize:
 Games: \$ _____
 Lotteries: \$ _____
- b. Maximum amount in total under one policy:
 Games: \$ _____
 Lotteries: \$ _____

c. Is insurance coverage required for the client's costs/expenses following abandonment of a Lottery/Game due to an error or omission? Yes No

If yes, indicate the maximum amount required:

Games: \$ _____

Lotteries: \$ _____

d. Is insurance coverage required for computer malfunction causing a claim? Yes No

If additional space is needed, please provide details on a separate attachment.

I understand the information submitted herein becomes a part of my Professional Liability Insurance Application and is subject to the same warranty and conditions.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act.

Signature of Owner, Officer or Partner

Print or Type Name and Title

Date (m-d-y)